

533 Rec'd PCT/PTO 14 SEP 2000

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| FORM PTO-1390 REV. 5-93 | | US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEYS DOCKET NUMBER P00,0637 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/646167 |
| INTERNATIONAL APPLICATION NO. PCT/DE99/00415 | INTERNATIONAL FILING DATE 16 February 1999 | PRIORITY DATE CLAIMED 16 March 1998 | |
| TITLE OF INVENTION AUTHENTICATION OF KEY DEVICES | | | |
| APPLICANT(S) FOR DO/EO/US ANTON ENTERROTTACHER ET AL. | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| 1. <input checked="" type="checkbox"/> | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | |
| 2. <input type="checkbox"/> | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | |
| 3. <input checked="" type="checkbox"/> | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. | | |
| 4. <input checked="" type="checkbox"/> | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | |
| 5. <input checked="" type="checkbox"/> | A copy of International Application as filed (35 U.S.C. 371(c)(2)). | | |
| | a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). | | |
| | b. <input type="checkbox"/> has been transmitted by the International Bureau. | | |
| | c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) | | |
| 6. <input checked="" type="checkbox"/> | A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | |
| 7. <input checked="" type="checkbox"/> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) | | |
| | a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). | | |
| | b. <input type="checkbox"/> have been transmitted by the International Bureau. | | |
| | c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. | | |
| | d. <input checked="" type="checkbox"/> have not been made and will not be made. | | |
| 8. <input checked="" type="checkbox"/> | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | |
| 9. <input checked="" type="checkbox"/> | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | |
| 10. <input checked="" type="checkbox"/> | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11. to 16. below concern other document(s) or information included: | | | |
| 11. <input checked="" type="checkbox"/> | An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). | | |
| 12. <input checked="" type="checkbox"/> | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (SEE ATTACHED ENVELOPE) | | |
| 13. <input checked="" type="checkbox"/> | Amendment "A" prior to action. | | |
| | <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. | | |
| 14. <input type="checkbox"/> | A substitute specification. | | |
| 15. <input checked="" type="checkbox"/> | Change of Address of Applicants' Representative. | | |
| 16. <input checked="" type="checkbox"/> | Other items or information: | | |
| | a. <input checked="" type="checkbox"/> Abstract Replacement Page 7 attached to Amendment "A". | | |
| | b. <input checked="" type="checkbox"/> Appointment of Associate Power of Attorney. | | |
| | c. <input checked="" type="checkbox"/> EXPRESS MAIL # EJ077700888US dated September 14, 2000 | | |

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|--|--------------|--------------|------------|-----------------------|--------------|
| 17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO \$840.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) . . \$670.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2) \$760.00 Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO \$970.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> | | | | CALCULATIONS | PTO USE ONLY |
| | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 04 - 20 = | 0 | X \$ 18.00 | \$ | |
| Independent Claims | 01 - 3 = | 0 | X \$ 78.00 | \$ | |
| Multiple Dependent Claims | | | \$260.00 + | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 840.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28) | | | | \$ | |
| SUBTOTAL = | | | | \$ 840.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 840.00 | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 840.00 | |
| | | | | Amount to be refunded | \$ |
| | | | | charged | \$ |

a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SCHIFF HARDIN & WAITE
PATENT DEPARTMENT
6600 Sears Tower
Chicago, Illinois 60606-6473

Mark Bergner
SIGNATURE

NAME

45,877
Registration Number

09/646167
430 REC CT/PTO 14 SEP 2000
CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Mailing Label Number EJ 077700888US

Date of Deposit: September 14, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT
Assistant Commissioner for Patents
Washington DC 20231**

**Case Number: P00,0637
Applicant(s): Anton Enterrottacher et al.**

**International Application No. PCT/DE99/00415
International Filing Date 16 February 1999
Priority Date Claimed 16 March 1998**

Title: AUTHENTICATION OF KEY DEVICES

Enclosed are the following documents:

International application as filed;
English Translation;

Executed Declaration;
Change of Address form for Applicants' Representative;

PTO 1390 in duplicate;
Amendment "A" prior to action;
Information Disclosure Statement; PTO 1449, Search Report, 7 References;

Appointment of Associate Power of Attorney;

Fee: \$ 840.00
Postcard.

**(See attached envelope for Executed Assignment;
PTO 1595; \$40.00 filing fee; Postcard)**

Craig A. Thornton

Signature of person mailing documents and fees